

08/873484

06/12/97

PTO FORM-1082

Case Docket No. 94-138CON  
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Sir:

Transmitted herewith for filing is the patent application of

Inventor: **Adrian C. Ravenscroft**For: **STENT DELIVERY SYSTEM**

Enclosed are:

- ☒ 3 sheets of drawing (Informal)
- ☐ An assignment of the invention to
- ☐ A certified copy of
- ☐ An associate power of attorney to
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 37 CFR 1.27.
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Respectfully submitted,

  
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DATE: June 12, 1997

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Serial No. : NEW APPLICATION  
Filed : HERewith  
For : **STENT DELIVERY SYSTEM**

EXPRESS MAIL NO. : **EI518921516US**

Lowell, Massachusetts  
June 12, 1997

I hereby certify that the following correspondence:

SPECIFICATION (29 pgs.) and 3 SHEETS OF (Informal)  
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MARY C. HOGGARD

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of

**Adrian C. Ravenscroft**

for

**STENT DELIVERY SYSTEM**

03444830

Cross Reference to Related Application

This is a continuation application of our co-pending United States Patent Application Serial No. 08/526,968 filed September 12, 1995 for a Stent Delivery System.

Background of the InventionField of the Invention

This invention relates to a percutaneous and endoscopic delivery of a stent in a patient's body and more particularly to a stent delivery system including a catheter for the selective deployment of a self-expanding stent.

Description of Related Art

Stents are well known endoprostheses. A typical endoprosthetic stent comprises a tubular structure that expands radially from a compact form for transit to an expanded form for implantation. Radial expansion causes the stent to implant into the tissues of a wall of a "vessel" being repaired or bridged to maintain its patency. Such stents may be utilized in body canals, blood vessels, ducts and other body passages, and the term "vessel" is meant to include all such passages.

Stents can be characterized as self-expansive and mechanically expansive. This invention relates to both self-expansive and mechanically expansive stents further characterized by being formed of a single wire or plurality of wires woven together to form a mesh structure which can be located on or in a distal end of a tubular body, such as a

medical catheter, in such compact form. A delivery system for such stent comprises a catheter with various associated control mechanisms extending from a distal end to a proximal end. Such a delivery system enables a surgeon to guide the distal end with the compact stent to a selected location in a patient's vessel. The surgeon then operates the control apparatus to release and expand the stent so as to deploy and fix the stent in the selected location. The control apparatus may be integral with the catheter for a mechanically expandable stent or ancillary to the catheter for a self-expansive stent. In either version, the control apparatus releases the stent from the catheter and, in the case of a mechanically expansive stent, expands the stent radially. After the stent has expanded, the surgeon returns the catheter typically to its pre-deployment form, free from the stent, and then removes the catheter from the patient. The expanded stent remains in the vessel in its expanded shape to maintain vessel patency.

Stent delivery systems must generally conform to several important criteria. First, it is critical in most applications to keep the transverse dimension of the delivery system to a minimum, as the distal end of the delivery system typically must be navigated through and along a patient's lumens either in a percutaneous insertion procedure or through the working channel of an endoscope. Second, the delivery system must facilitate the deployment of the stent into contact with the

patient's vessel walls once it is located at a selected site. Third, the stent delivery system must easily disengage from the stent after deployment to enable separation of the delivery system from the deployed stent. Fourth, the procedure for removing the delivery system from the body must be straightforward and relatively simple to speed and ease the work of a physician employing the stent delivery system. Fifth, the stent delivery system must be reliable and efficient to reduce trauma and patient risk. Sixth, preferably the delivery system should also enable partial deployment and retraction of the stent to enable the surgeon to recover a stent not properly positioned during deployment thereof.

The prior art stent delivery systems for self-expansive endoprosthetic stents are illustrated by the following United States Letters Patent:

4,580,568	(1986)	Gianturco
4,655,771	(1987)	Wallsten
4,681,110	(1987)	Wiktor
4,732,152	(1988)	Wallsten et al.
5,026,377	(1991)	Burton et al.

United States Letters Patent No. 4,580,568 to Gianturco discloses a system for delivering a self-expanding stent. The system comprises a tubular sheath positioned with a distal end proximate a selected delivery site. The stent is then compressed and inserted into a proximal end of the sheath. A user inserts a pusher rod into the tubular sheath and urges the stent through the sheath to a position proximate the distal end

of the tubular member. The user then retracts the sheath relative to the push rod to release the stent.

United States Letters Patent No. 4,655,771 to Wallsten discloses a delivery system for a stent that includes a catheter that supports a tubular stent in a compact form on its exterior distal end surface. Gripper members proximate the proximal and distal ends of the tubular stent secure to the catheter. A handle at the proximal end of the tubular part of the apparatus enables a user to control the axial movement of the gripper members. That is, axial displacement of the gripper members by the control mechanisms frees the stent from the outer surface of the catheter and enables expansion of the stent.

United States Letters Patent No. 4,681,110 to Wiktor discloses a catheter arrangement in which a tubular member contains a radially expandable liner and a deployment mechanism for deploying the liner. The deployment mechanism includes an internal tube that extends through the outer portion of the tube and engages a proximal end of the liner. Distal displacement of the inner tube relative to the outer tube urges the liner distally of the distal end of the outer tube enables the liner to deploy in its radially expanded form.

United States Letters Patent No. 4,732,152 to Wallsten et al. discloses a device and method for implantation of a prosthetic stent. The stent is maintained in a compact state

within the device during transport to a selected location within a patient's vessels and then is released to expand and fix in a patient's vessel.

The following United States Letters Patent illustrate prior art stent delivery systems for mechanically expansive stents:

4,553,545	(1985)	Maass et al.
4,733,665	(1988)	Palmaz
4,907,336	(1990)	Gianturco
4,950,227	(1990)	Savin et al.

United States Letters Patent No. 4,553,545 to Maass et al. discloses a coil spring stent and an instrument for transporting the stent in a compact form and then deploying the stent in an expanded form within a patient's body. A tubular body carried on the distal end of a catheter underlies and supports the stent. Proximal and distal ends of the stent are clamped between the ends of the tubular body and enlarged first and second end sections of the catheter. The end sections connect by first and second portions of a coaxial cable to a knob member at a proximal end of the instrument. A second knob member at the proximal end connects with the tubular body so that relative rotation of the knobs in a first sense urges rotation of the tubular body relative to the end section to urge radial expansion of the stent thereby. Opposite rotation of the knobs tend to contract the stent. Successive opposed relative axial displacement of the knobs successively widens



the space between the first and second end sections respectively and the tubular body to thereby release the stent from the tubular member.

United States Letters Patent No. 4,733,665 to Palmaz and United States Letters Patent No. 4,907,336 to Gianturco disclose a stent delivery system with a mesh and wire stent respectively, mounted on an expandable balloon at a distal end of the catheter. A surgeon positions the distal end of the catheter in a patient's vessel and expands the balloon and stent into contact with the vessel wall. Then the surgeon deflates the balloon and removes the catheter.

In United States Letters Patent No. 4,950,227 to Savin et al. a stent is placed at a distal end of a catheter surrounding an expandable balloon. Proximal and distal ends of the stent underlie flaps on the outer surface of the catheter. Expansion of the balloon releases the stent from the flaps and expands the stent into its operative expanded form.

In United States Letters Patent No. 5,026,377 to Burton et al. an outer sheath overlies a stent carried in a compact form at the extreme distal end of the catheter. An elongated gripping member supported by an inner core frictionally grips the stent in its compact form. Manipulation of a proximal handle member selectively retracts the sheath to expose the self-expanding stent and enables deployment at the select location. At an intermediate position a physician can

selectively retract the inner core to retract the stent within the outer sheath.

Burton et al. do provide apparatus that enables a surgeon to retract a stent after displacement has begun. However, Burton et al. do not provide any indication that the stent has deployed beyond a point at which retraction is no longer possible. That is, Burton et al. fail to disclose apparatus enabling a surgeon to determine when the axial forces necessary to reduce the expanded portion of the stent have exceeded the frictional bond between the stent and a gripping surface that retains the stent on the catheter. Furthermore, in the prior art, such as that disclosed by Burton et al., the stent in its compact form is closely proximate both a core and an outer sheath. The inner stent surface bears on the core and the outer stent surface bears on the inner sheath surface to thereby form a frictional engagement therebetween. This also reduces the overall flexibility of the distal end of the stent delivery system. Maneuvering a distal end of reduced flexibility through the tortious paths often encountered in a patient's vessels can increase patient trauma and can, in some cases, make this treatment modality impracticable. Thus, a surgeon frequently faces the decision of risking additional trauma to the patient or adopting another procedure for treating the patient. Thus, none of these prior art delivery systems and methods enable the delivery of a stent to a

selected location of patient's body and facilitate the retraction of a partially deployed stent, that provides reasonable flexibility of the distal end for positioning the stent at the selected location and that provides to a physician a defined zone of retractability of the stent.

#### Summary

Therefore, it is an object of this invention to provide a method and system for either percutaneous or endoscopic delivery of a stent at a selected location in a vessel of a patient.

It is another object of this invention to provide a stent delivery system with a flexible distal end adapted for passage through the vessels of a patient and with the capability of selective deployment and retraction of the stent.

It is still another object of this invention to provide a stent delivery system with capability of selective deployment and retraction of the stent characterized by a flexible distal end for use in percutaneous and endoscopic procedures and adapted for passage through tortuous paths defined by the vessels of a patient.

It is yet another object of this invention to provide a method and system for delivery of a stent which reduces the steps and time necessary to deliver a stent to a selected location of a vessel in a patient.

It is yet still another object of this invention to provide a method and system for delivery of a stent which enables a user to ascertain whether the stent is retractable.

It is a further object of this invention to provide a method and system for delivery of a stent which is relatively simple and inexpensive to produce and use.

In accordance with one aspect of this invention a delivery system for positioning a stent in a patient's vessel includes a catheter for positioning the stent at a predetermined position in the patient's body. The catheter includes a sheath normally overlying the stent in its compact form and an inner core normally underlying the stent in its compact transport form. Two spaced rings extend radially from the inner core and engage the stent in its compact form to enable deployment of the stent by distal displacement thereof relative to the sheath and retraction of the stent within the sheath by proximal displacement thereof relative to the sheath.

According to another aspect of this invention a delivery system includes a distal end having a flexible sheath and a flexible core at a distal end of the system for overlying and underlying, respectively, a self-expansible stent positionable in a compact form. The stent is supported on at least two spaced rings secured to the core. Retracting the sheath relative to the core deploys the stent; retraction of the core

relative to the sheath prior to full deployment of the stent retracts the stent relative to the sheath.

### Brief Description of the Drawings

5 The appended claims particularly point out and distinctly claim the subject matter of this invention. The various objects, advantages and novel features of this invention will be more fully apparent from a reading of the following detailed description in conjunction with the accompanying drawings in which like reference numerals refer to like parts, and in  
10 which:

FIG. 1 is a plan view in partial cross-section illustrating an embodiment of a stent delivery system according to this invention;

15 FIG. 2 is an enlarged view of a distal end of the embodiment of FIG. 1;

FIG. 3 is a sectional view taken along the line 3-3 in FIG. 2;

FIG. 4 is a plan view of the embodiment of FIG. 1 with the stent in a partially deployed position;

20 FIG. 5 is a plan view of the embodiment of FIG. 1 with the stent in a fully deployed position;

FIG. 6 is an enlarged plan view similar to FIG. 1A of another embodiment of this invention; and

FIG. 7 is a plan view similar to FIG. 1 of yet another embodiment of this invention particularly useful in deploying a non-self-expanding stent.

### Description of Illustrative Embodiments

5 A stent delivery system 10 according to this invention as depicted in FIG. 1 includes a elongated catheter 11 defined between a proximal handle 12 and a distal end tip 13. An axially extending plastic core 14 supports the distal end tip 13. The core 14 includes a relatively stiff portion 15  
10 extending distally from the handle 12. A flexible thick portion 16 extends distally from the stiff portion 15. A flexible thin distal portion 17 extends between the portion 16 and the distal end tip 13. The flexible thin portion 17  
15 underlies a mesh stent 20 supported in a compacted form within the catheter 11 proximate the distal end tip 13 for deployment from the catheter 11 in an expanded form within a patient's vessel 22.

First and second closely, but axially spaced rings 23 attach to the thin portion 17 and engage the stent 20. A  
20 slippery outer sheath 24, preferably formed of a radially flexible axially stiff material such as Teflon® or other like material, overlies the stent 20 and extends proximally to a first handle portion 25. The stiff portion 15 of the core 14 secures to a second handle portion 26. The handle portions 25

and 26 are displaceable along the axis 27 relative to each other thereby to enable selective deployment and retraction of the stent 20.

In the embodiment of FIG. 1, the stiff portion 15 of the core 14, which extends a substantial length of the catheter 11, is preferably formed of a plastic material such as PEBAX® or an elongated coiled spring formed of plastic or metal such as Nitinol® which provides limited flexibility so the catheter 11 can readily be pushed from the handle 12 through the patient's vessel with little risk of kinking. The core portions 16 and 17 are relatively flexible radially.

The presence of the stent 20 on the axially spaced rings 22 does not significantly retard the overall flexibility of the extreme distal end of the catheter 11, because the rings 23 which support the stent 20 are substantially spaced from the distal end tip 13. That is, the distal portion of the stent 20 floats in a radial sense over the core 17. Thus upon application of a radially directed force to the sheath 24 proximate the distal end tip 13, the stent 20 tends to radially displace within the sheath 24 thereat. This feature eases the surgeon's task in navigating the catheter 11 through severely tortuous paths often associated with both endoscopic and percantaneous procedures. Thus, employment of this invention reduces the steps and time necessary for delivery of a stent to a delivery site.

Once the system 10 is properly inserted, the distal end tip 13 will be positioned at a selected location in a patient's vessel 22 for deployment of the stent 20. This can be accomplished by various means, particularly Applicant prefers providing markers such as radio opaque rings or indicia 37 on the core 17 proximate the distal end tip 15 to properly locate the system 10. Then a surgeon will operate the handle 12 to deploy the stent 20.

As best seen in FIGS. 2 and 3, the stent 20 preferably comprises a plurality of interlocking loops 20A having spaced overlapping portions 20B and single wire portions 20C intermediate thereof. The individual wires preferably have a diameter " $D_w$ ", the rings 23 have an outer diameter " $D_r$ ", and the sheath 24 has an inner diameter " $D_s$ ", wherein:

$$4D_w + D_r > D_s > 2D_w + D_p \quad (1)$$

Likewise the stent 20 when in its compact form has an outer diameter approximately " $D_s$ " and maximum inner diameter ( $ID_{max}$ ) and minimum inner diameter ( $ID_{min}$ ) where:

$$ID_{max} \approx D_s - 2D_w \quad (2)$$

and

$$ID_{min} \approx D_s - 4D_w \quad (3)$$



5 The outer diameter "D<sub>r</sub>" of the rings 23 therefore is preferably between the maximum and minimum inner diameters of the stent 20 in its compact form. Thus, as depicted in FIG. 1A, the stent 20 is positioned within the sheath 24 with the single wire portions 20C overlying the rings 23 and the overlapping portions 20B disposed between adjacent rings 23. Thus, upon distal displacement of the rings 23 distal faces of the rings 23 engage proximal surfaces of distally adjacent overlapping portions 20B to urge corresponding distal displacement of the stent 20. Likewise upon proximal displacement of the rings 23 proximal faces of the rings 23 engage distal surfaces of proximally adjacent overlapping portions 20B to urge corresponding proximal displacement of the stent 20. As will now be appreciated the positive engagement between the stent 20 and the rings 23 due to the interspersed portions of the stent having large and small inner diameters, ID<sub>max</sub> and ID<sub>min</sub>, enable the selective and relatively sure control of the surgeon in deploying and retracting the stent 20.

10  
15  
20 In the embodiment of this invention illustrated by FIG. 1, the surgeon preferably grasps the handle portion 25 and the handle portion 26 with two hands, holds the handle portion 26 steady and retracts the handle portion 25. This retracts the sheath 24 relative to the core 14. When the surgeon partially retracts the sheath to a position as shown in FIG. 4, the  
25 distal portion of the stent 20 beyond the sheath expands. The

surgeon can use fluoroscopic or endoscopic viewing techniques and apparatus to determine whether the stent 20 is appropriately positioned. If the stent 20 is not properly positioned the surgeon displaces the handle portion 26 proximally to retract the core 14, attached rings 23 and the stent 20 fully within the sheath 24 so that the stent 20 and delivery system returns to the condition as depicted in FIG. 1. The distal end can then be maneuvered to a desired location or into a desired orientation and the process repeated. Once the surgeon is satisfied with the location and orientation of the partially deployed stent 20, the surgeon merely fully retracts the handle 25 to fully deploy the stent 20 as depicted in FIG. 5.

Those skilled in the art will now recognize that the ability to retract a partially deployed stent enables a surgeon to ascertain whether the stent 20 is properly positioned fluoroscopically and/or visually through an endoscope before fully deploying the stent 20. This can be important to both surgeon and patient as improper positioning can increase the trauma and risk to a patient and curtail the efficiency of the treatment. As is known, manipulation of handles at the proximal end of a catheter to deploy a stent often affects the site of deployment of the stent as the distal end of the catheter can be unintentionally translated by such manipulation. Likewise other factors, some of which are only

apparent upon deploying the stent can render a location initially thought to be inappropriate. For these reasons, the embodiment of FIG. 1 includes the indicia 37 thereon, so that a surgeon using a fluoroscope or viewing channel of an endoscope can ascertain the extent of deployment of the stent 20, as more fully discussed below.

FIG. 6 depicts another embodiment of this invention. A single ring 23' mounts to the catheter. It has a slightly longer axial extension than each ring 23, but less than the axial distance between the interlocking loops 20A. The ring 23' has an outer diameter ( $D_r'$ ), that satisfies the conditions:

$$D_r' + 4D_w > D_s > D_r' + 2D_w \quad (4)$$

The operation and use of this embodiment is similarly identical to the embodiment of FIG. 1.

Referring now to FIG. 7, another delivery system 47 according to this invention includes the annular rings 23" that support a stent 50 in a compact form. The stent 50, rather than being a self-expanding stent, is formed with a known material defining the mechanically expansible mesh that locks in an expanded form. The annular rings 23" engage the stent 50 in its compact form to enable retraction of the stent 50 by retraction of the handle portion 26 and to prevent retraction of the stent 50 when the sheath 24 is retracted by operation of

the handle 25. In this embodiment, a balloon lumen (not shown) connects to an expansible balloon 60 that underlies the stent 50 between proximal to distal ends 58 and 59. The balloon 60 also lies between the rings 23 and the stent 50. If the sheath 24 is partially retracted to a position similar to that of FIG. 2, inflating the balloon 60 expands only the exposed portion of the balloon 60 and the coextensive portion of the stent 50 that lies distally of the sheath 24.

If repositioning of the stent is required, the surgeon deflates the balloon 60 and retracts the core portion 17 to thereby contract the stent 50 to enable repositioning of the distal end of the system 47. In this embodiment an extreme distal end of the sheath 24 preferably includes an integral metal or hard plastic ring 61 to assist in the compression of the stent 50 upon retraction thereof from is partially deployed condition. Once the stent 50 is partially deployed in a satisfactory position, the surgeon fully retracts the sheath 24 and fully inflate the balloon 60 to fully deploy the stent 50 thereat.

As previously indicated, the particular structures of the stent delivery systems according to this invention are suited for use in procedures using either percutaneous or endoscopic insertion techniques. In an endoscopic insertion technique the surgeon inserts the catheter 11 through the working channel 70 of an endoscopic device 71 (see FIG. 5). In either technique a

central lumen such as lumen 30 in FIG. 4, can extend through the catheter 11 receive a guidewire 31. With the central lumen 30, the surgeon can first position the guidewire 31 in the patient and then slide the catheter 11 over the guidewire 31 to position the catheter 11 within the patient's vessels with relative ease.

In accordance with another aspect of this invention, the distal end tip 13 can be formed of a radiopaque material or provided with a radiopaque marker to improve fluoroscopic observation of the distal end of the systems 10 and 47 of FIGS. 1 and 7. The core portion 17 and the extreme distal end 61 of the sheath 24 can also be provided with indicia 37 to indicate extension of the core 17 relative to the sheath. The indicia 37 and 61 will generally be radiopaque indicia although in devices according to this invention used with an endoscope 71 having a viewing channel 73, the indicia 37 and 61 may be of a type only visually perceived (e.g. colored rings). Preferably the indicia 37 is arranged in a selected number; concentration and/or color to indicate when the degree of deployment has reached a state where further distal displacement of the rings will render subsequent retraction of the stent impossible. Thus, a surgeon upon observing the selected indicia 37 that the commitment to deploy or retract must be made.

Thus, the stent delivery system of this invention enables a surgeon to position a compacted stent at a selected location

and partially deploy the stent, then either fully deploy the stent or return the stent to its compacted form. A surgeon after partially deploying a stent can, upon observing a problem such as incorrect positioning or the like, retract the stent within the sheath 24 and then reposition the distal end 13 at a selected location and commence deployment of the stent. The use of one or more of the rings 23, 23' or 23" also tends to improve the radial flexibility of the distal end of the stent delivery systems according to this invention as compared with such prior art systems. As should now be understood, the rings 23, 23' and 23" disclosed herein are structures that interlock with the stent when in its compact form. This interlocking provides the improved control of the stent in deploying and retracting the stent relative to the catheter.

This invention has been disclosed in terms of certain embodiments. It will be apparent that many modifications can be made to the disclosed apparatus without departing from the invention. By way of example, additional rings to engage the stent may be employed, the rings or rings used may included slots for receiving portions of the stent overlying the rings, and, in fact, a ring can be formed or defined by a plurality of protuberances or fingers that extend from a core or similar structure to engage and interlock with portions of the stent with the minimum inner diameter. Therefore, it is the intent of the appended claims to cover all such variations and

What is claimed as new and desired to be secured by  
Letters Patent of the United States is:

1. A stent delivery system for transporting and deploying an expansible stent, said stent delivery system comprising:
  - A) delivery means for positioning the stent at a selected position in the patient's body, said delivery means including a sheath normally overlying the stent in its compact transport form and an inner core normally underlying the stent in its compact transport form, and
  - B) deployment means for selectively deploying and retracting the stent relative to said sheath, said deployment means including a ring attached to and extending from said inner core and engaging the stent in its compact condition.
2. A stent delivery system as recited in claim 1 wherein the outer diameter of said ring is greater than the minimum inner diameter of the stent in its compacted form.
3. A stent delivery system as recited in claim 2 wherein said ring engages the stent proximate a proximal end of the stent in its compacted delivery state.
4. A stent delivery system as recited in 3 wherein said delivery means further includes a handle disposed at a



5 proximal end of said sheath and said inner core, said  
handle having a first actuator means for proximally  
retracting said sheath relative to said inner core and  
second actuator means for distally displacing said inner  
core relative to said sheath, such that selective  
manipulation of said first and second actuator means  
10 enables selective deployment of the stent in an expanded  
form outside of said sheath and retraction of the stent  
within said sheath from a partially deployed state.

5. A stent delivery system as recited in claim 1 wherein said  
delivery system is adapted for use in the working channel  
of an endoscopic device of the type having a viewing  
channel, said catheter including visible indicia proximate  
its distal end visible through the viewing channel of the  
endoscopic device indicating the extent of deployment of  
the stent.

6. A stent delivery system as recited in claim 5 wherein said  
delivery means further includes a handle disposed at a  
proximal end of said sheath and said inner core, said  
handle having a first actuator means for proximally  
retracting said sheath relative to said inner core and  
second actuator means for distally displacing said inner  
core relative to said sheath, such that manipulation of

10 said first and second actuator means enables selective deployment of the stent in an expanded form outside of said sheath and retraction of the stent in the compact form within said sheath.

- 5 7. A stent delivery system as recited in claim 1 further comprising a second ring axially spaced from said first ring, said first and second rings engaging the stent proximate a proximal end of the stent in its compacted delivery state.
- 5 8. A stent delivery system as recited in claim 7 wherein said delivery means further includes a handle disposed at a proximal end of said sheath and said inner core, said handle having a first actuator means for proximally retracting said sheath relative to said inner core and second actuator means for distally displacing said inner core relative to said sheath, such that manipulation of said first and second actuator means enables selective deployment of the stent in an expanded form outside of said sheath and retraction of the stent within said sheath from a partially deployed condition in the compact form.
- 10 9. A stent delivery system as recited in claim 8 wherein said delivery system is adapted for use in the working channel

5 of an endoscopic device of the type having a viewing channel, said catheter including visible indicia proximate its distal end visible through the viewing channel of the endoscopic device indicating the extent of deployment of the stent.

10. A stent delivery system as recited in claim 1 further comprising mechanically expansible means underlying said stent for selectively expanding said stent into a deployed condition.
11. A stent delivery system as recited in claim 1 wherein said delivery system is adapted for use in the working channel of an endoscopic device of the type having a viewing channel, said catheter including visible indicia proximate its distal end visible through the viewing channel of the endoscopic device indicating the extent of deployment of the stent.
12. In an elongated, tubular stent delivery system with a distal end including a sheath and a flexible core at a distal end for overlying and underlying, respectively, a stent carried at a distal end of the delivery system in a compact form and for transport within a patient's body for selective deployment in an expanded form within a

10 patient's vessel, and a proximal end including a first  
handle portion connected to the sheath and a second handle  
portion connected to the core to enable relative axial  
displacement of the sheath and the core, the improvement  
comprising a thin ring attached to and extending from the  
core to engage a stent disposed in a compact form within  
said sheath such that upon the displacement of the sheath  
relative to the core the stent moves with said ring  
relative to the sheath.

- 15
13. A tubular stent delivery system as recited in claim 12  
wherein said ring has an outer diameter  $D_r$ , such that  
 $D_r > ID_{min}$ , the minimum inner diameter of portions of the  
stent in its compacted form.
14. A tubular stent delivery system as recited in claim 13  
wherein said ring engages the stent proximate a proximal  
end of the stent in its compacted delivery state.
15. A tubular stent delivery system as recited in claim 14  
wherein said stent has a portion with an inner diameter  
 $ID_{max}$  disposed between portions of the stent having its  
minimum inner diameter where  $ID_{min} < D_r < ID_{max}$  and said  
ring is disposed intermediate the minimum inner diameter  
portions.

- 5 16. A tubular stent delivery system as recited in claim 15 wherein said delivery system is adapted for use in the working channel of an endoscopic device of the type having a viewing channel and the distal end of the tubular stent having indicia proximate the distal end of the core, said indicia being of the type visible through the viewing channel of an endoscopic device and indicating the extent of deployment of the stent.
- 5 17. A tubular stent delivery system as recited in claim 12 further comprising a second ring secured to the core proximate to and axially spaced from said first ring, wherein said first and second rings are proximally spaced from a distal end of the stent in its compacted delivery state.
18. A tubular stent delivery system as recited in claim 17 further comprising means for mechanically urging the expansion of the stent from its compact form.
19. A tubular stent delivery system as recited in 12 further comprising means for mechanically urging the expansion of the stent from its compact form.

20. A method for delivering and selectively deploying a stent comprising the steps of:

- 5
- A) inserting within the body of a patient an axially extending catheter, the catheter having an exterior sheath, with a stent in a compact form proximate a distal end of the catheter that underlies the sheath and overlies a ring,
- 10
- B) urging the distal end of the catheter through the patients body to position the distal end at a selected location, and
- 15
- C) selectively displacing the ring relative to the sheath to urge the displacement of said stent relative to the sheath to enable selective extension and retraction of the stent relative to a distal end of the sheath.

21. A method for delivering and selectively deploying a stent as recited in claim 20 further comprising inflating a balloon underlying the stent in its compact form and overlying the ring to mechanically urge the expansion of the stent.

5

22. A method for delivering and selectively deploying a stent as recited in claim 20 wherein the stent is self-expansive and said step of displacing the stent distally of the

sheath enables deployment of the stent engaging step  
5 includes engaging the inner surface of the stent between  
portions of the stent having a minimum inner diameter.

23. A method for delivering and selectively deploying a stent  
as recited in claim 20 further comprising viewing indicia  
on the catheter during said displacing step to determine  
whether the stent is retractable said engaging step  
5 further includes engaging the inner surface with a second  
closely spaced annular ring.

## Abstract

A stent delivery system a catheter has an axially extending inner core and outer sheath. Axially spaced rings extending from a relatively narrow diameter portion of the inner core proximate the distal end thereof. The rings engage proximal portions of a compacted stent disposed within the sheath and over the core and rings. A proximal handle of the system has a first portion that supports the sheath and a second portion that supports the core for relative displacement of the core and the sheath. Retraction of the sheath relative to the core uncovers the stent engaged by the rings which tends to remain stationary relative to the core so that upon partial retraction of the sheath a distal end of the stent expands to its expanded form. Further retraction of the sheath deploys the stent, retracting the core returns the distal portion of the stent into the sheath.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

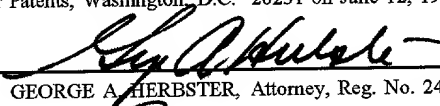
In Re : Adrian C. Ravenscroft  
Serial No. : New Application  
Filed : Herewith  
FOR : Stent Delivery System  
EXAMINER :  
ART UNIT :

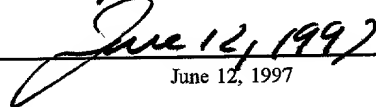
Lowell, Massachusetts  
June 12, 1997

Assistant Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, in an envelope addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on June 12, 1997.

  
\_\_\_\_\_  
GEORGE A. HERBSTER, Attorney, Reg. No. 24,002

  
\_\_\_\_\_  
June 12, 1997

Sir:

PRELIMINARY AMENDMENT

Please amend the above-identified patent application as follows:

In the Specification

Page 1, line 7 delete "percantaneous" and insert  
-- percutaneous --

REMARKS

If there are any questions, we urge the Examiner to call us collect. Please charge any costs in connection with this document to our Deposit Account No. 16-0875.

Respectfully Submitted,

PEARSON & PEARSON

By



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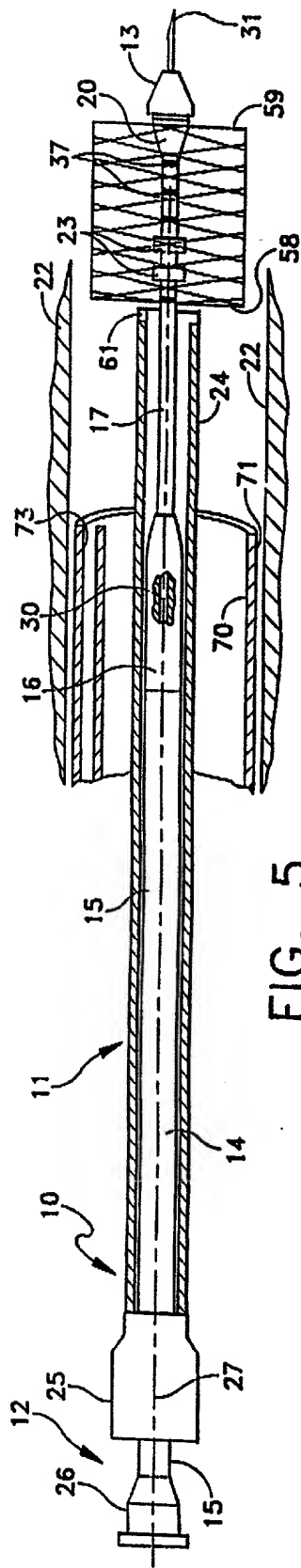


FIG. 5

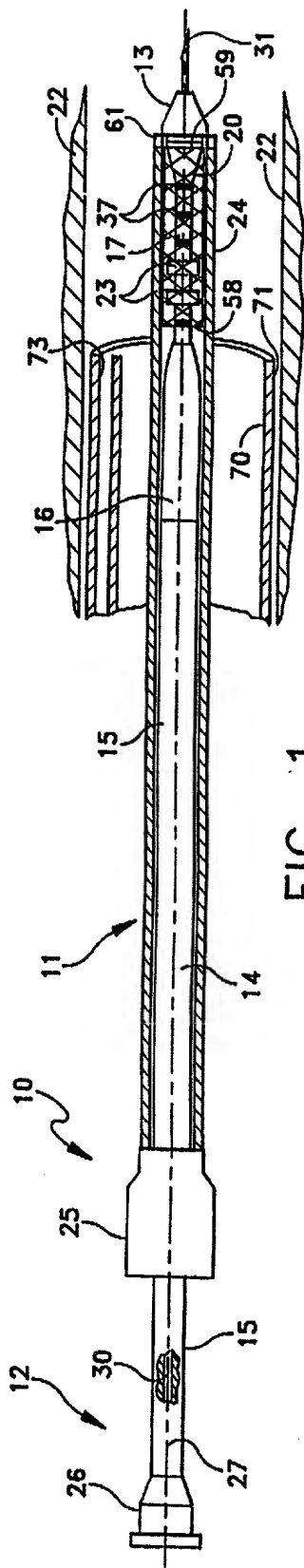


FIG. 1

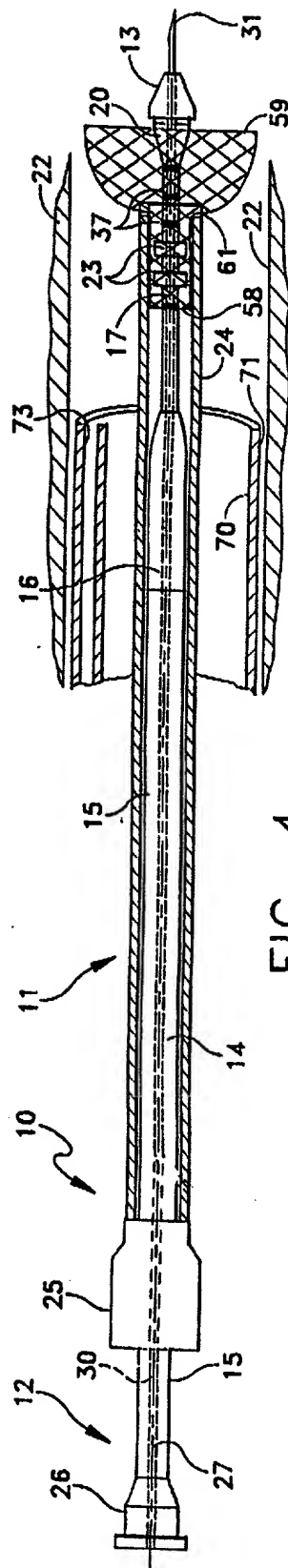


FIG. 4

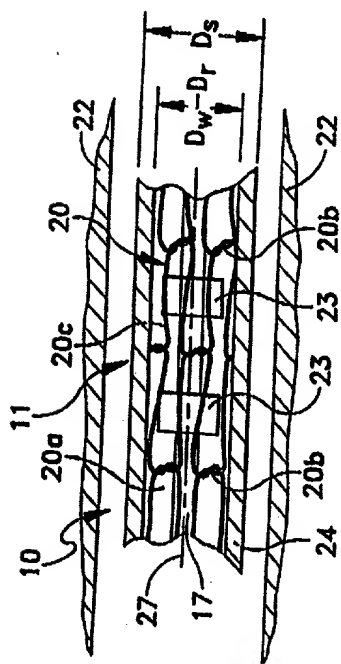


FIG. 2

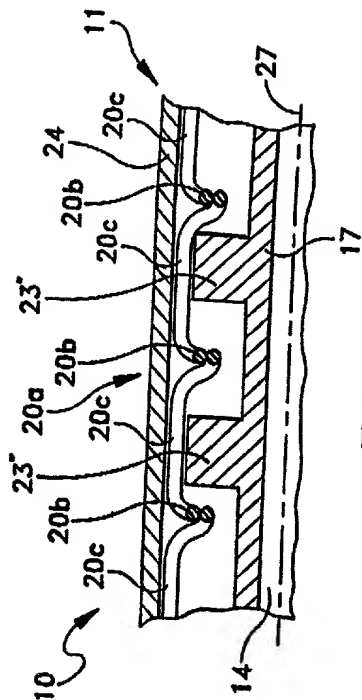


FIG. 3

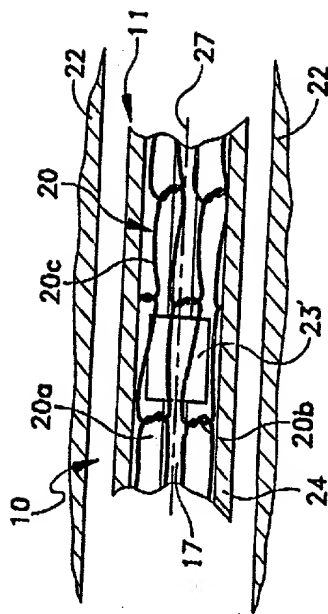


FIG. 6

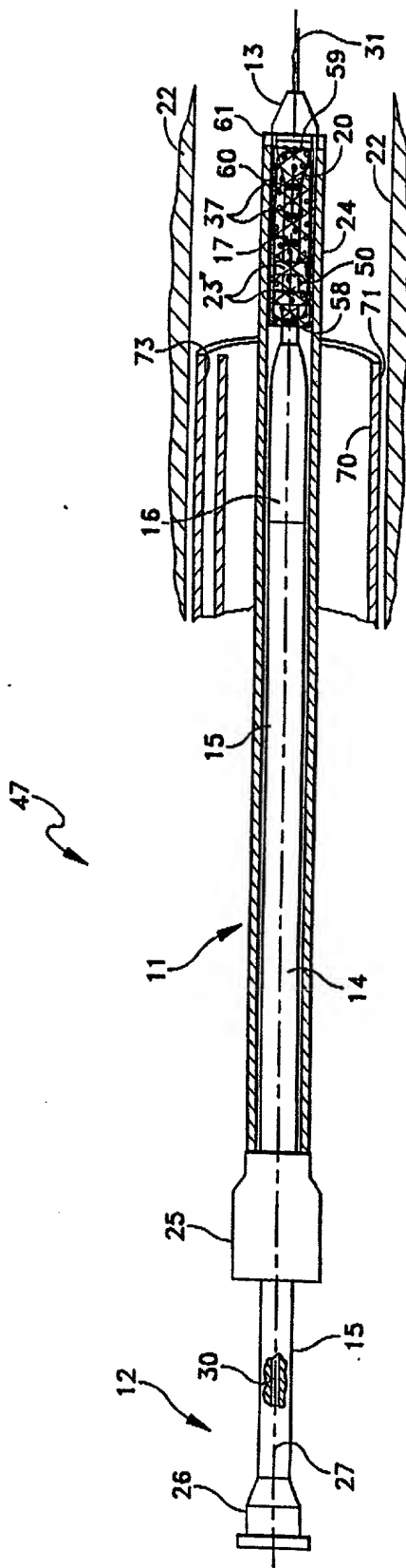


FIG. 7

As a below named inventor, I hereby declare that:

I believe I am the original, first and sole inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

which is attached hereto.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

PENDING  
(Status)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**JOHN H. PEARSON, JR.** Reg. No. 32,288  
**GEORGE A. HERBSTER** -- Reg. No. 24,002  
**PEARSON & PEARSON** -- Reg. No. 16,124  
**12 HURD STREET, LOWELL, MASS. 01852**  
**Tel: (508) 452-1971**

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition.

1200 Adams Street, Apt. 109, Lower Mills, Massachusetts 02124  
United States of America

Lower Mills, Massachusetts 02124

Date: \_\_\_\_\_